# eMessaging Compliance Manager
## Secure Electronic Communication Compliance Archive System

## THE PROBLEM OVERVIEW

- Standard archiving solutions don't meet the rigid mandates of regulatory and governing bodies

- Standard systems often store un-encrypted emails on-site, leaving them vulnerable to security breaches and natural disasters

- Standard systems don't capture IM, Bloomberg or Reuters messages

- E-Discovery and audit requests cost an organization time and money because of the need to manually search through archived emails

**Perimeter eSecurity provides a quick, easy and economical email, IM and messaging archiving system that meets the rigid mandates of the SEC, FINRA, HIPAA, SOX, and the Federal Rules for Civil Procedures (FRCP). Standard email archiving solutions don't satisfy the regulatory, discovery, and retention requirements for electronic records, which means an automated email archiving solution is necessary. Perimeter's solution safely stores your messages off-site and makes it easy to fulfill e-discovery and audit requests. Designed for today's needs and architected for tomorrow's changing regulatory environment, Perimeter's electronic message archiving solution will always meet your compliance needs.**

### THE ELECTRONIC MESSAGING COMPLIANCE PROCESS

| PROCESS | RESULT |
|---|---|
| **CAPTURING** | Mail is authenticated prior to entering the Compliance Manager and prior to removal from client server |
| **REVIEW** | **Secure Accounts** - Unique log-ins permit access to assigned mailboxes only<br>**Flexible Interface** - Messages may be filtered by type of message and sorted by date, subject, sender, or randomized to facilitate review<br>**Record of Review** - Preserves an audit trail - time, date, reviewer ID and reviewer comments are automatically recorded. Percent of mail reviewed is clearly visible<br>**Automatic Keyword Message Scoring** - Messages are automatically scored on retrieval and displayed hierarchically based on a customer defined keyword list<br>**Custom/Keyword Search** - Search by custom terms or keywords. Store search parameters for future use |
| **ARCHIVE** | **On-line Archive** - Correspondence is available through an SSL secure internet connection for review in Perimeter's Compliance Manager for 30 days (default time can be customized). After 30 days it is written to WORM (Write Once, Read Many) media. Data can be kept online indefinitely for viewing while reviewed data is written to WORM media to meet third-party and near-at-hand access requirements.<br>**Permanent Archive** - The permanent archive written to WORM media contains all messaging, a compliance officer audit trail, an index and a digitally signed numeric hash of all data that ensures the integrity of the archive volume's time period |
| **STORE** | A WORM archive is delivered to the designated company recipient and a duplicate is stored in an off-site secure storage facility for 3 years (or as contracted). Barcoding of WORM media archive discs assures accurate record-keeping. WORM disk delivery is tracked by date and signature |
| **ACCESS** | Archive disks are retrieved for authorized requests |

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Complete. On Demand. Affordable.

## THE PERIMETER SOLUTION

Perimeter's eMessaging Compliance Manager enables companies to quickly and easily implement an archiving system that is compliant with regulations and has built-in email review workflow processes.  Our basic system archives all incoming and outgoing email and enables your compliance officer to view all mail and perform the actions needed to maintain compliance according to the rules established by your firm.  Additional components bring faxes into your archive stream as well as IM, Hotmail, Yahoo mail, etc.  The single interface makes it possible to simultaneously view and manage email and instant messages, as well as information services like Bloomberg and Reuters.  Our eMessaging Compliance Manager collects all required electronic communications through a single, password-protected, user-friendly interface that can be accessed by your compliance officer using a standard web browser.  Our solution provides effective compliance for large and small firms alike, as well as firms that have hosted or in-house mail servers.

## THE BENEFITS OF PERIMETER'S SOLUTION

| KEY FEATURES | BENEFITS |
| --- | --- |
| FULL COMPLIANCE | Guaranteed to meet regulations mandated by the SEC, FINRA, HIPAA, SOX and FRCP |
| SINGLE WEB BASED INTERFACE | Browser based tools for Compliance and IT mean ease-of-use for administrators, no maintenance for your IT department, and single log-in |
| SIMPLE REPORTING & MANAGEMENT FEATURES | 24/7 access allows compliance officers to gather easy to understand real-time compliance reports to share with their IT committee and examiners |
| SIMPLE IMPLEMENTATION | Since no hardware or software is required, the system can be quickly implemented and training can be completed in 30 minutes |
| GUARANTEED THIRD PARTY STORAGE | Messages are stored safely off-site, encrypted, and burned to WORM media retrieved only by authorized request |